

SC-7/33: Programme of work and budget for the Stockholm Convention for the biennium 2016–2017

The Conference of the Parties,

Taking note of the financial reports on the Stockholm Convention trust funds for 2014 and estimated expenditures for 2015 from the Trust Fund for the Stockholm Convention on Persistent Organic Pollutants (Stockholm Convention Trust Fund),¹

Also taking note of the Office of Internal Oversight Services audit report (number 2014/024) entitled “Provision of efficient and effective Secretariat support to the conventions” and of the efforts made by the Secretariat to quantify the results achieved in implementing joint activities in accordance with the recommendation of the report,

Further taking note of General Assembly resolution 60/283, by which the Assembly approved the adoption of the International Public Sector Accounting Standards by the United Nations,

Recognizing that the International Public Sector Accounting Standards require that full provision be made for doubtful debt in respect of debt that is more than four years in arrears and that proportionate provision be made for more recent arrears and that, as a result, an amount, estimated at 322,531 United States dollars, will have to be deducted from the end 2014 fund balance of the Stockholm Convention Trust Fund to cover doubtful debt and cannot be used for the benefit of all parties during the biennium 2016–2017,

I. Trust Fund for the Stockholm Convention on Persistent Organic Pollutants

1. *Takes note* of the recommendation of the Office of Internal Oversight Services to establish a single operational account for staff costs and in this regard invites the Executive Director of the United Nations Environment Programme to provide additional information, which will be immediately made available through the bureaux of the conferences of the parties to the Basel Convention on the Transboundary Movements of Hazardous Wastes and their Disposal, the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade and the Stockholm Convention on Persistent Organic Pollutants, on the practical implications of such a measure and on the establishment of a single joint general trust fund for the Basel, Rotterdam and Stockholm conventions and to make proposals on any required changes to the financial rules, which will inform a decision at the next meetings of the conferences of the parties;

2. *Invites* the Executive Director of the United Nations Environment Programme to explore the possibility of establishing a single joint voluntary trust fund for the Basel, Rotterdam and Stockholm conventions, to provide information to the bureaux as soon as possible and to present proposals at the next meetings of the conferences of the parties;

3. *Approves* the programme budget for the Stockholm Convention for the biennium 2016–2017 of 5,691,902 United States dollars for 2016 and 5,828,820 United States dollars for 2017 for the purposes set out in table 1 of the present decision, which are presented by budget code line in table 2 of the present decision;

4. *Authorizes* the Executive Secretary of the Stockholm Convention to make commitments in an amount up to the approved operational budget, drawing upon available cash resources;

5. *Decides* to increase the working capital reserve to 13 per cent of the annual average of the biennial operational budgets for 2016–2017;

6. *Welcomes* the continued annual contribution of 2 million Swiss francs by Switzerland to the Secretariat to offset planned expenditures and notes that 1 million Swiss francs will be allocated annually as a contribution to the Stockholm Convention Trust Fund and will include Switzerland’s assessed contribution and that 1 million Swiss francs will be allocated annually to the Stockholm Convention voluntary Special Trust Fund;

7. *Adopts* the indicative scale of assessments for the apportionment of expenses for the biennium 2016–2017 set out in table 4 of the present decision, and authorizes the Executive Secretary,

¹ UNEP/POPS/COP.7/INF/45.

consistent with the Financial Regulations and Rules of the United Nations, to adjust the scale to include all parties for which the Convention enters into force before 1 January 2016 for 2016 and before 1 January 2017 for 2017;

8. *Recognizes* that contributions to the Stockholm Convention Trust Fund are due by or on 1 January of the year for which those contributions have been budgeted, requests parties to pay their contributions promptly, encourages parties in a position to do so to pay their contributions by 16 October 2015 for the calendar year 2016 and by 16 October 2016 for the calendar year 2017, and requests the Secretariat to notify parties of the amount of their contributions as early as possible in the year preceding the year in which they are due;

9. *Notes with concern* that a number of parties have not paid their contributions to the operational budgets for 2014 and prior years, contrary to the provisions of paragraph 3 (a) of rule 5 of the financial rules, and urges parties to pay their contributions promptly by or on 1 January of the year to which the contributions apply;

10. *Decides*, with regard to contributions due from 1 January 2005 onwards, that no representative of any party whose contributions are in arrears for two or more years shall be eligible to become a member of the Bureau of the Conference of the Parties or a member of any subsidiary body of the Conference of the Parties, provided, however, that this shall not apply to parties that are least developed countries or small island developing States or to parties that have agreed on and are respecting a schedule of payments in accordance with the financial rules;

11. *Also decides* that no representative of any party whose contributions are in arrears for four or more years and that has not agreed on or is not respecting a schedule of payments implemented in accordance with paragraph 3 (d) of rule 5 of the financial rules shall be eligible to receive financial support to attend intersessional workshops and other informal meetings, as arrears that have been outstanding for more than four years have to be treated as 100 per cent doubtful debts under the International Public Sector Accounting Standards;

12. *Requests* the Executive Secretary, and invites the President of the Conference of the Parties, to notify, through a jointly signed letter, the ministers of foreign affairs of those parties whose contributions are in arrears, inviting them to take timely action, and to thank those parties that have responded in a positive manner in paying their outstanding contributions;

13. *Decides* to further consider additional incentives and measures to address arrears in core budget contributions to the Convention in an effective and efficient manner at its next meeting;

14. *Takes note* of the indicative staffing table for the Secretariat for the biennium 2016–2017 used for costing purposes to set the overall budget, which is set out in table 5 of the present decision;

15. *Authorizes* the Executive Secretary to continue to determine the staffing levels, numbers and structure of the Secretariat in a flexible manner, provided that he remain within the overall cost of the staff numbers set out in table 5 of the present decision for the biennium 2016–2017 as recommended by the Office of Internal Oversight Services in its audit report;

16. *Invites* the Executive Director of the United Nations Environment Programme to continue to ensure that staff training to comply with United Nations mandatory training for staff members is financed from programme support costs since it represents an overhead cost in the operations of the Secretariat;

II. Stockholm Convention voluntary Special Trust Fund

17. *Takes note* of the funding estimates included in table 3 of the present decision for activities under the Convention to be financed from the voluntary Special Trust Fund in the amount of 4,213,128 United States dollars for 2016 and 4,358,940 United States dollars for 2017;

18. *Notes* that the voluntary Special Trust Fund requirement presented in the budget represents its best efforts to be realistic and reflects priorities agreed by all parties and urges parties and invites non-parties and others to make voluntary contributions to the voluntary Special Trust Fund so as to encourage contributions from donors;

19. *Invites* Switzerland to include in its contribution to the voluntary Special Trust Fund support for, among other things, the participation of developing country parties, in particular least developed countries and small island developing States, and parties with economies in transition, in

meetings of the Convention and joint activities between the Basel, Rotterdam and Stockholm conventions;

20. *Urges* parties, and invites others in a position to do so, to contribute urgently to the voluntary Special Trust Fund with a view to ensuring the full and effective participation of developing-country parties, in particular least developed countries and small island developing States, and parties with economies in transition in the meetings of the Conference of the Parties;

III. Preparations for the next biennium

21. *Decides* that the two trust funds for the Convention shall be continued until 31 December 2017 and requests the Executive Director of the United Nations Environment Programme to extend them for the biennium 2016–2017, subject to the approval of the United Nations Environment Assembly of the United Nations Environment Programme;

22. *Requests* the Executive Secretary, in the context of the report on the implementation of joint and convention-specific activities, to include a section on the gender action plan;

23. *Also requests* the Executive Secretary, bearing in mind decision SC.Ex-2/1 on enhancing cooperation and coordination among the Basel, Rotterdam and Stockholm conventions, further to enhance efficiency in the use of financial and human resources in accordance with the priorities set by the Conference of the Parties and to report on the outcome of his efforts in that regard;

24. *Further requests* the Executive Secretary to prepare a budget for the biennium 2018–2019, for consideration by the Conference of the Parties at its eighth meeting, explaining the key principles, assumptions and programmatic strategy on which the budget is based and presenting expenditures for the 2018–2019 period in both a programmatic format and by budget code line;

25. *Notes* the need to facilitate priority-setting by providing the parties with timely information on the financial consequences of various options and, to that end, requests the Executive Secretary to include in the proposed operational budget for the biennium 2018–2019 two alternative funding scenarios that take account of any efficiencies identified as a result of paragraph 23 above and are based on:

(a) The Executive Secretary's assessment of the required changes in the operational budget, which should not exceed a 5 per cent increase on the 2016–2017 level in nominal terms, to finance all proposals before the Conference of the Parties that have budgetary implications;

(b) Maintaining the operational budget at the 2016–2017 level in nominal terms;

26. *Requests* the Executive Secretary at the eighth ordinary meeting of the Conference of the Parties to provide, where relevant, cost estimates for actions that have budgetary implications that are not foreseen in the draft programme of work but are included in proposed draft decisions before the adoption of those decisions by the Conference of the Parties;

27. *Stresses* the need to ensure that the voluntary Special Trust Fund requirement presented in the budget is realistic and represents the agreed priorities of all parties so as to encourage voluntary contributions from donors.

Table 1

Programme budget for 2016–2017 (in United States dollars)

Activities related to the Basel, Rotterdam and Stockholm conventions

1. Conferences and meetings

Activity No. 2016– 2017	Activities	2016								2017									
		Source of funding								Source of funding									
		Basel Convention		Rotterdam Convention		Stockholm Convention		Annual		Basel Convention		Rotterdam Convention		Stockholm Convention		Annual		Biennium	
		BCTF budget	BDTF budget	ROTF budget	RVTF budget	SCTF budget	SVTF budget	Total funding General TF	Total funding Special TF	BCTF	BDTF	ROTF	RVTF	SCTF	SVTF	Total funding General TF	Total funding Special TF	Total funding General TF	Total funding Special TF
1 (BC)	Thirteenth meeting of the Conference of the Parties to the Basel Convention (including high-level segment of 1 day)	50 000						50 000		507 575	978 163					507 575	978 163	557 575	978 163
2 (RC)	Eighth meeting of the Conference of the Parties to the Rotterdam Convention (including high-level segment of 1 day)			422 000				422 000			135 575	978 163			135 575	978 163	557 575	978 163	
3 (SC)	Eighth meeting of the Conference of the Parties to the Stockholm Convention including high-level segment of 1 day)				30 000			30 000					527 575	978 163	527 575	978 163	557 575	978 163	
4 (BC)	Tenth meeting of the Open-ended Working Group to the Basel Convention	347 982	669 512					347 982	669 512									347 982	669 512
5 (RC)	Twelfth and thirteenth meetings of the Chemical Review Committee (CRC) and orientation workshop for CRC members			258 604	89 535	–		258 604	89 535		258 604				258 604			517 208	89 535
6 (SC)	Twelfth and thirteenth meetings of the Persistent Organic Pollutants Review Committee (POPRC)				431 481	20 632		431 481	20 632				431 481	85 102	431 481	85 102		862 962	105 734
7 (BC)	Meeting of the Bureau of the conference of the Parties to the	50 900						50 900										50 900	

Activity No. 2016-2017	Activities	2016								2017									
		Source of funding								Source of funding									
		Basel Convention		Rotterdam Convention		Stockholm Convention		Annual		Basel Convention		Rotterdam Convention		Stockholm Convention		Annual		Biennium	
		BCTF budget	BDTF budget	ROTF budget	RVTF budget	SCTF budget	SVTF budget	Total funding General TF	Total funding Special TF	BCTF	BDTF	ROTF	RVTF	SCTF	SVTF	Total funding General TF	Total funding Special TF	Total funding General TF	Total funding Special TF
8 (RC)	Basel Convention and joint meetings of the bureaux to the Basel, Rotterdam and Stockholm conventions Meeting of the Bureau of the conference of the Parties to the Rotterdam Convention and joint meetings of the bureaux to the Basel, Rotterdam and Stockholm conventions			30 200				30 200										30 200	
9 (SC)	Meeting of the Bureau of the Conference of the Parties to the Stockholm Convention and joint meetings of the bureaux to the Basel, Rotterdam and Stockholm conventions				44 000			44 000										44 000	
10 (BC)	Meeting of the Basel Convention Implementation and Compliance Committee	42 680	30 280					42 680	30 280									42 680	30 280
11 (RC)	Orientation workshop for CRC members-included under activity 5																		
12 (S6)	Support the work of and coordination between the scientific bodies of the conventions		4 000		4 000				12 000										12 000
2016-2017 totals (non-staff cost)		491 562	703 792	710 804	93 535	505 481	24 632	1 707 847	821 959	507 575	978 163	394 179	978 163	959 056	1 063 265	1 860 810	3 019 591	3 568 657	3 841 550
2016-2017 totals (staff cost)		851 254	197 120	988 973	82 500	1 156 685	136 016	2 996 912	415 636	891 401	232 960	1 067 888	85 800	1 200 052	141 457	3 159 341	460 217	6 156 253	6 571 889

2. Technical assistance and capacity-building

a. Development of tools and methodologies

Activity No. 2016–2017	Activities	2016								2017									
		Source of funding				Source of funding				Source of funding				Source of funding					
		Basel Convention	Rotterdam Convention	Stockholm Convention	Annual	Basel Convention	Rotterdam Convention	Stockholm	Annual	Basel Convention	Rotterdam Convention	Stockholm	Annual	Biennium					
		BCTF budget	BDTF budget	ROTF budget	RVTF budget	SCTF budget	SVTF budget	Total funding General TF	Total funding Special TF	BCTF	BDTF	ROTF	RVTF	SCTF	SVTF	Total funding General TF	Total funding Special TF	Total funding General TF	Total funding Special TF
13 (S1)	Tools and methodologies for training and capacity-building	15 000	307 000	15 000	284 000	15 000	313 000	45 000	904 000	15 000	225 000	15 000	226 000	15 000	245 000	45 000	696 000	90 000	1 600 000
	2016–2017 total technical assistance and capacity- building a)	15 000	307 000	15 000	284 000	15 000	313 000	45 000	904 000	15 000	225 000	15 000	226 000	15 000	245 000	45 000	696 000	90 000	1 600 000

b. Capacity building and training

14 (BC)	Training and capacity-building activities to enhance the implementation of the Basel Convention at the regional level		870 000						870 000		600 000						600 000		1 470 000
15 (RC)	Training and capacity-building activities to enhance the implementation of the Rotterdam Convention at national and regional levels			1 178 600					1 178 600			778 400					778 400		1 957 000
16 (SC)	Training and capacity-building activities to enhance the implementation of the Stockholm Convention at the regional level				1 190 800			-	1 190 800				616 200			-	616 200		1 807 000

Activity No. 2016-2017	Activities	2016				2017				
		Source of funding				Source of funding				
		Basel Convention	Rotterdam Convention	Stockholm Convention	Annual	Basel Convention	Rotterdam Convention	Stockholm	Annual	Biennium
17 (S2/S3)	Training and capacity-building activities to enhance the implementation of the Basel, Rotterdam and Stockholm conventions at the regional and national levels	532 000	540 000	530 000	1 602 000	341 000	354 000	368 000	1 063 000	2 665 000
	2016-2017 total technical assistance and capacity-building b)	1 402 000	1 718 600	1 720 800	4 841 400	941 000	1 132 400	984 200	3 057 600	7 899 000
c. Partnerships										
18 (S4)	Partnerships for technical assistance	150 000	190 000	70 000	410 000	122 000	147 000	26 000	295 000	- 705 000
	2016-2017 total technical assistance and capacity-building c)	44 150	311 500	44 150	237 500	88 300	549 000	205 000	279 000	484 000 88 300 1 033 000
d. Regional centres										
19 (S8/9)	Coordination of and support to the Basel and Stockholm Convention regional centres and cooperation and coordination between regional centres	44 150	311 500	44 150	237 500	88 300	549 000	- 205 000	279 000	1 033 000
	2016-2017 total technical assistance and capacity-building d)	44 150	311 500	44 150	237 500	88 300	549 000	279 000	1 033 000	
	2016-2017 totals (non-staff cost)	59 150	2 170 500	15 000	2 192 600	59 150	2 341 300	133 300	6 704 400	15 000 1 493 000 15 000 1 505 400 15 000 1 534 200 45 000 4 532 600 178 300 11 237 000

Activity No. 2016-2017	Activities	2016								2017									
		Source of funding								Source of funding									
		Basel Convention		Rotterdam Convention		Stockholm Convention		Annual		Basel Convention		Rotterdam Convention		Stockholm		Annual		Biennium	
	2016-2017 totals (staff cost)	408 590	232 960	496 261	178 750	415 913	374 044	1 320 764	785 754	430 656	293 530	555 654	185 900	429 604	389 006	1 415 914	868 435	2 736 678	1 654 189

3. Scientific and technical activities

Activity No. 2016-2017	Activities	2016								2017									
		Source of funding								Source of funding									
		Basel Convention		Rotterdam Convention		Stockholm Convention		Annual		Basel Convention		Rotterdam Convention		Stockholm		Annual		Biennium	
		BCTF budget	BDTF budget	ROTF budget	RVTF budget	SCTF budget	SVTF budget	Total funding General TF	Total funding Special TF	BCTF	BDTF	ROTF	RVTF	SCTF	SVTF	Total funding General TF	Total funding Special TF	Total funding General TF	Total funding Special TF
20 (S7)	Scientific support to parties to the Basel Convention	224 875	285 000				20 000	224 875	305 000	25 000	205 000				10 000	25 000	215 000	249 875	520 000
21 (RC)	Scientific support to parties to the Rotterdam Convention			30 000	202 500			30 000	202 500			30 000	52 500			30 000	52 500	60 000	255 000
22 (SC)	Scientific support to parties to the Stockholm Convention					75 000	206 000	75 000	206 000					65 000	102 000	65 000	102 000	140 000	308 000
23 (SC)	Effectiveness evaluation and the Global Monitoring Plan					135 000	224 000	135 000	224 000					-	204 000		204 000	135 000	428 000
24 (S15)	National reporting	48 000	10 000			78 000		126 000	10 000	10 000	50 000			20 000		30 000	50 000	156 000	60 000
	2016-2017 totals (non-staff cost)	272 875	295 000	30 000	202 500	288 000	450 000	590 875	947 500	35 000	255 000	30 000	52 500	85 000	316 000	150 000	623 500	740 875	1 571 000
	2016-2017 totals (staff cost)	323 558		232 116		431 817	127 515	987 490	127 515	341 032		255 217		446 030	132 616	1 042 279	132 616	2 029 769	260 131

4. Knowledge and information management and outreach

Activity No. 2016-2017	Activities	2016								2017									
		Source of funding								Source of funding									
		Basel Convention		Rotterdam Convention		Stockholm Convention		Annual		Basel Convention		Rotterdam Convention		Stockholm		Annual		Biennium	
		BCTF budget	BDTF budget	ROTF budget	RVTF budget	SCTF budget	SVTF budget	Total funding General TF	Total funding Special TF	BCTF	BDTF	ROTF	RVTF	SCTF	SVTF	Total funding General TF	Total funding Special TF	Total funding General TF	Total funding Special TF
25 (S10)	Clearing-house mechanism for information exchange, including PIC database and Rotterdam Convention website in English, French and Spanish Publications	45 600	42 400	14 400	31 700	61 600	42 400	121 600	116 500	45 600	42 400	14 400	31 700	61 600	42 400	121 600	116 500	243 200	233 000
26 (S14)	Publications	26 700		26 600		26 700		80 000		6 700		6 600		6 700		20 000		100 000	
27 (S12/S13)	Joint communication, outreach and public awareness	1 000		1 000		1 000		3 000		1 000	17 500	1 000	15 000	1 000	17 500	3 000	50 000	6 000	50 000
2016-2017 totals (non-staff cost)		73 300	42 400	42 000	31 700	89 300	42 400	204 600	116 500	53 300	59 900	22 000	46 700	69 300	59 900	144 600	166 500	349 200	283 000
2016-2017 totals (staff cost)		373 661		566 050	13 750	556 234	34 004	1 495 945	47 754	393 841	9 318	632 389	14 300	574 543	35 364	1 600 773	58 983	3 096 718	106 737

5. Overall management

Activity No. 2016-2017	Activities	2016								2017									
		Source of funding				Source of funding				Source of funding				Source of funding					
		Basel Convention	Rotterdam Convention	Stockholm Convention	Annual	Basel Convention	Rotterdam Convention	Stockholm	Annual	Basel Convention	Rotterdam Convention	Stockholm	Annual	Biennium					
		BCTF budget	BDTF budget	ROTF budget	RVTF budget	SCTF budget	SVTF budget	Total funding General TF	Total funding Special TF	BCTF	BDTF	ROTF	RVTF	SCTF	SVTF	Total funding General TF	Total funding Special TF	Total funding General TF	Total funding Special TF
28 (S18)	Executive direction and management	64 400		144 081		106 434		314 915		57 900		81 346		98 434		237 680		552 595	
29 (S19)	International cooperation and coordination																		
30 (S16)	Resource mobilization	9 000		9 000		166 500	20 000	184 500	20 000	9 000		9 000	-	40 500	-	58 500		243 000	20 000
31 (S17)	Support for the review of the synergies decisions	45 200		30 300		45 200		120 700										120 700	
	2016-2017 totals (non-staff cost)	118 600		183 381		318 134	20 000	620 115	20 000	66 900		90 346		138 934		296 180		916 295	20 000
	2016-2017 totals (staff cost)	367 775		288 894		631 385	170 020	1 288 054	170 020	396 555	-	317 645	-	652 168	176 821	1 366 368	176 821	2 654 423	346 841

6. Legal and policy

Activity No. 2016-2017	Activities	2016								2017								
		Source of funding								Source of funding								
		Basel Convention		Rotterdam Convention		Stockholm Convention		Annual		Basel Convention		Rotterdam Convention		Stockholm		Annual		Biennium
BCTF budget	BDTF budget	ROTF budget	RVTF budget	SCTF budget	SVTF budget	Total funding General TF	Total funding Special TF	BCTF	BDTF	ROTF	RVTF	SCTF	SVTF	Total funding General TF	Total funding Special TF	Total funding General TF	Total funding Special TF	
32 (BC)	Legal and policy activities specific to the Basel Convention		190 000						190 000	190 000								
33 (S20)	Legal and policy activities under the Basel Rotterdam and Stockholm conventions; national legislation, illegal traffic and trade and enforcement under the Basel, Rotterdam and Stockholm conventions		20 000				20 000											20 000
34 (BC)	Coordinate and provide support to parties in follow-up to the country led initiative on environmentally sound management and further legal clarity		217 000					217 000	207 000								207 000	424 000
2016-2017 totals (non-staff cost)			427 000					427 000	-	397 000							397 000	824 000
2016-2017 totals (staff cost)		391 080	107 520	27 934		236 423	8 501	655 437	116 021	409 098	116 480	30 214	238 913	8 841	678 225	125 321	1 333 662	241 342

7. Office maintenance and services

Activity No. 2016–2017	Activities	2016								2017									
		Source of funding								Source of funding									
		Basel Convention		Rotterdam Convention		Stockholm Convention		Annual		Basel Convention		Rotterdam Convention		Stockholm		Annual		Biennium	
	BCTF budget	BDTF budget	ROTF budget	RVTF budget	SCTF budget	SVTF budget	Total funding General TF	Total funding Special TF	BCTF	BDTF	ROTF	RVTF	SCTF	SVTF	Total funding General TF	Total funding Special TF	Total funding General TF	Total funding Special TF	
35 (S21)	Office maintenance and services	153 000		74 100		243 000		470 100		153 000		74 100		243 000		470 100		940 200	
36 (S11)	Joint information technology services	52 500		32 500		72 500		157 500		52 500		32 500		72 500		157 500		315 000	
	2016–2017 totals (non-staff cost)	205 500		106 600		315 500		627 600		205 500		106 600		315 500		627 600		1 255 200	
	2016–2017 totals (staff cost)	311 639		2 093		33 059		346 790		328 469		2 301		34 147		364 917		711 708	
	2016–2017 totals (non-staff cost)	1 220 978	3 638 692	1 087 785	2 520 335	1 575 565	2 878 332	3 884 337	9 037 359	883 275	3 183 063	658 125	2 582 763	1 582 790	2 973 365	3 124 190	8 739 191	7 008 527	17 776 550
	2016–2017 totals (staff cost)	3 027 556	537 600	2 602 320	275 000	3 461 516	850 100	9 091 393	1 662 700	3 191 053	652 288	2 861 307	286 000	3 575 458	884 104	9 627 818	1 822 392	18 719 210	3 485 092
	2016–2017 Grand totals	4 248 543	4 176 292	3 690 105	2 795 335	5 037 081	3 728 432	12 975 730	10 700 059	4 074 328	3 835 351	3 519 432	2 868 763	5 158 248	3 857 469	12 752 008	10 561 583	25 727 737	21 261 642

Summary of the Programme budget for 2016–2017 by heading (in United States dollars)

	2016							2017								
	BCTF	BDTF	ROTF	RVTF	SCTF	SVTF	Total Gen TF	Total Vol TF	BCTF	BDTF	ROTF	RVTF	SCTF	SVTF	Total Gen TF	Total Vol TF
Conferences and meetings	491 562	703 792	710 804	93 535	505 481	24 632	1 707 847	821 959	507 575	978 163	394 179	978 163	959 056	1 063 265	1 860 810	3 019 591
Technical assistance and capacity-building	59 150	2 170 500	15 000	2 192 600	59 150	2 341 300	133 300	6 704 400	15 000	1 493 000	15 000	1 505 400	15 000	1 534 200	45 000	4 532 600
Scientific and technical activities	272 875	295 000	30 000	202 500	288 000	450 000	590 875	947 500	35 000	255 000	30 000	52 500	85 000	316 000	150 000	623 500
Knowledge and information management and outreach	73 300	42 400	42 000	31 700	89 300	42 400	204 600	116 500	53 300	59 900	22 000	46 700	69 300	59 900	144 600	166 500
Overall management	118 600		183 381		318 134	20 000	620 115	20 000	66 900		90 346		138 934		296 180	
Legal and policy		427 000						427 000		397 000						397 000
Office maintenance and services	205 500		106 600		315 500		627 600		205 500		106 600		315 500		627 600	
Total non-staff costs	1 220 987	3 638 692	1 087 785	2 520 335	1 575 565	2 878 332	3 884 337	9 037 359	883 275	3 183 063	658 125	2 582 763	1 582 790	2 973 365	3 124 190	8 739 191
Total staff costs	3 027 547	537 600	2 602 320	275 000	3 461 516	850 100	9 091 384	1 662 700	3 191 053	652 288	2 861 307	286 000	3 575 458	884 104	9 627 818	1 822 392
Total programme requirements	4 248 543	4 176 292	3 690 105	2 795 335	5 037 081	3 728 432	12 975 730	10 700 059	4 074 328	3 835 351	3 519 432	2 868 763	5 158 248	3 857 469	12 752 008	10 561 583
	General TF	Special TF		BCTF	BDTF	ROTF	RVTF	SCTF	SVTF							
BRS total budget	25 727 737	21 261 642		8 322 871	8 011 643	7 209 538	5 664 098	10 195 329	7 585 901							
Increase from biennium to biennium	-0.11%	7.02%		-2.89%	24.67%	6.66%	-11.55%	-2.21%	7.79%							

Table 2

Programme of work for 2016–2017 funded via the general trust funds of the Basel (BC) Rotterdam (RO) and Stockholm (SC) conventions

Operational budget for 2016–2017 (in United States dollars)

Summary table of total costs per budget code level and by convention trust fund

		Year 2016				Year 2017				2016-2017
		BC	RC	SC	Total	BC	RC	SC	Total	Total
10 Project personnel component										
1100	Professional staff									
1101	Executive Secretary (D-2)	125 091	15 255	164 754	305 100	126 922	31 730	158 652	317 304	622 404
1111	Executive Secretary (D-2) (0.25 in kind by FAO)									
1131	Deputy Executive Secretary (D-1)	116 563	14 215	153 522	284 300	118 269	29 567	147 836	295 672	579 972
1135	Chief of Branch (P-5) SSB	103 320	12 600	136 080	252 000	107 453	13 104	141 523	262 080	514 080
1133	Chief of Branch (P-5) COB	103 320	12 600	136 080	252 000	107 453	13 104	141 523	262 080	514 080
1134	Chief of Branch (P-5) TAB	103 320	12 600	136 080	252 000	107 453	13 104	141 523	262 080	514 080
1132	Chief of Branch (P-5) ASB									
1112	Senior Programme Officer - FAO (P-5)		263 309		263 309		273 841		273 841	537 150
1103	Programme Officer (P-3) (replacing retired P-5 in 2015)	59 733			59 733	186 368			186 368	246 101
1104	Senior Programme Officer (P-5) (only for 2016)	252 000			252 000					252 000
1108	Programme Officer (P-3) (replacing retired P-5 in 2015)					186 368			186 368	186 368
1105	Senior Programme Officer (P-5)	252 000			252 000	262 080			262 080	514 080
1106	Policy and Legal Adviser (P-4)	216 700			216 700	225 368			225 368	442 068
1107	Programme Officer (P-4)	216 700			216 700	225 368			225 368	442 068
OTA	Administrative Officer (P-4) (by UNEP OTL)									
1109	Programme Officer - National Reporting (P-3)	179 200			179 200	186 368			186 368	365 568
1110	Programme Officer - Information Officer (P-3)	179 200			179 200	186 368			186 368	365 568
1111	Programme Officer (P-3)	179 200			179 200	186 368			186 368	365 568
1112	Associate Programme Officer - Computer Systems (P-2)	146 600			146 600	152 464			152 464	299 064
1113	Associate Legal Officer (upgrade to P-3)	179 200			179 200	186 368			186 368	365 568
	Transitional adjustment									
	<i>Subtotal BC staff</i>	2 412 147	330 579	726 516		2 551 037	374 451	731 058		

1201	Consultant (HSC codes)	25 000			25 000	25 000			25 000	50 000
1202	Consultant (e-waste technical guidelines)									
1204	Consultant to develop generic inventory tool for collection of data on hazardous wastes									
1205	Consultant for resource mobilization database (funded from fund balance)	1 500	1 500	1 500	4 500	1 500	1 500	1 500	4 500	9 000
1204	Consultants for resource kit									
1206	Consultants (scientific support for SC)			20 000	20 000			5 000	5 000	25 000
1207	Consultants (effectiveness evaluation for SC)			50 000	50 000					50 000
1208	Consultants (GMP for SC)			20 000	20 000					20 000
1209	Consultant (fourth review of financial mechanism SC)		–	105 000	105 000					105 000
1210	Consultant (needs assessment SC)		–	52 500	52 500			31 500	31 500	84 000
1211	Consultant (POPRC review)			10 000	10 000					10 000
1281	Consultant (national reporting BC and SC)	48 000	–	78 000	126 000	10 000		20 000	30 000	156 000
1282	Consultants (clearing- house mechanism)									
1283	Consultant (synergies review)	40 200	26 800	40 200	107 200					107 200
1290	Staff training - language									
1291	Staff training - management and communication									
1299	Total	114 700	28 300	377 200	520 200	36 500	1 500	58 000	96 000	616 200
13	Administrative support									
1300	General Service staff									
OTA	Administrative Assistant (by UNEP OTL)		–					–		
1302	Senior Team Assistant	170 200	–		170 200	177 008	–		177 008	347 208
1303	Meetings/Documents Assistant	170 200	–		170 200	177 008	–		177 008	347 208
1306	Information Assistant	137 500	–		137 500	143 000	–		143 000	280 500
OTA	Finance and Budget Assistant (by UNEP OTL)		–					–		
1307	Programme Assistant	137 500	–		137 500	143 000	–		143 000	280 500
	<i>Subtotal BC staff</i>	615 400				640 016				
1301	Meeting Conference Assistant		–	137 500	137 500			143 000	143 000	280 500

1303	Administrative Assistant (abolished)		↔						
1305	Programme Assistant		↔	137 500	137 500		143 000	143 000	280 500
1302	Information Systems Assistant		↔	137 500	137 500		143 000	143 000	280 500
1308	Research Assistant		↔	170 200	170 200		177 008	177 008	347 208
1320	Programme Clerk		↔	137 500	137 500		143 000	143 000	280 500
OTA	Finance & Budget Assistant (by UNEP OTL)		↔						
OTA	Administrative Assistant (HR) (by UNEP OTL)		↔						
OTA	IT/Database Assistant (by UNEP OTL)		↔						
OTA	Publication Clerk (by UNEP OTL)		↔						
	<i>Subtotal SC staff*</i>			720 200			749 008		
1302	Information Assistant	137 500			137 500		143 000	143 000	280 500
1304	Programme Assistant	137 500			137 500		143 000	143 000	280 500
1307	GTA Conference Clerk	137 500			137 500		143 000	143 000	280 500
1311	Secretary (0.25 in kind by FAO)		↔						
1313	Secretary - FAO (in kind by FAO)		↔						
1314	Secretary - FAO	131 746			131 746		137 016	137 016	268 762
	<i>Subtotal RO staff</i>			544 246			566 016		
	<i>General Service Staff subtotal</i>	615 400	544 246	720 200	1 879 846	640 016	566 016	749 008	1 955 040
1330	Conference servicing								
1321	Conference of the Parties to BC	50 000			50 000	498 325		498 325	548 325
1323	Conference of the Parties to BC (HLS)					9 250		9 250	9 250
1322	Open-ended Working Group to BC	347 982	↔		347 982	–			347 982
1330	Conference of the Parties to SC			30 000	30 000		518 325	518 325	548 325
1332	Conference of the Parties to SC (HLS)						9 250	9 250	9 250
1331	POPs Review Committee			316 847	316 847		316 847	316 847	633 694
1305	Conference of the Parties to RC		422 000		422 000		126 325	126 325	548 325
1306	Conference of the Parties to RC (HLS)						9 250	9 250	9 250
1331	Chemical Review Committee		143 466		143 466		143 466	143 466	286 932

1387	Donor round table meetings	2 500	2 500	2 500	7 500	2 500	2 500	2 500	7 500	15 000
1388	Conference servicing (Regional Centres)									
1389	Conference servicing (Partnerships)									
	<i>Conference servicing subtotal</i>	400 482	567 966	349 347	1 317 795	510 075	281 541	846 922	1 638 538	2 956 333
1399	Total	1 015 882	1 112 212	1 069 547	3 197 641	1 150 091	847 557	1 595 930	3 593 578	6 791 219
1600	Travel on official business									
1601	Official travel	59 400	139 081	101 434	299 915	52 900	76 346	93 434	222 680	522 595
1699	Total	59 400	139 081	101 434	299 915	52 900	76 346	93 434	222 680	522 595
1999	Component total	3 602 129	3 337 667	4 289 497	11 229 294	3 790 528	3 220 694	4 573 814	11 585 036	22 814 329
20	Subcontract component									
2200	Subcontracts									
2203	Resource kit									
2202	Pilot activities (regional centres)									
2204	Subcontracts (information management of the Secretariat)	15 675	4 650	15 675	36 000	15 675	4 650	15 675	36 000	72 000
2201	Subcontracts (clearing-house mechanism based on priorities)	13 225	4 650	23 225	41 100	13 225	4 650	23 225	41 100	82 200
2299	Total	28 900	9 300	38 900	77 100	28 900	9 300	38 900	77 100	154 200
2999	Component total	28 900	9 300	38 900	77 100	28 900	9 300	38 900	77 100	154 200
30	Training component									
3300	Meetings: participant travel and DSA									
3303	Bureau of BC	38 100			38 100					38 100
3305	Joint Bureau of BC	12 800			12 800					12 800
3304	Implementation and Compliance Committee	42 680			42 680					42 680
3307	Intersessional meeting (technical guidelines BC) additional	30 000			30 000					30 000
3308	Technical Expert Group									
3309	Annual meeting of the Basel Convention regional centres									
3304	Bureau of SC			31 200	31 200					31 200
3313	Joint Bureau of SC			12 800	12 800					12 800
3302	POPs Review Committee			114 634	114 634			114 634	114 634	229 268
3309	Annual meeting of the Stockholm Convention regional centres									
3310	Joint meeting of the Basel and Stockholm convention regional centres	44 150		44 150	88 300					88 300

3305	DDT Expert Group							60 000	60 000	60 000
3311	GMP Global Coordination Group			40 000	40 000					40 000
3312	Effectiveness Evaluation Committee (2 meetings)			70 000	70 000					70 000
3314	Implementation and Compliance Committee									
3301	Bureau of RC	17 400			17 400					17 400
3313	Joint Bureau of RC	12 800			12 800					12 800
3302	Chemicals Review Committee	115 138			115 138		115 138		115 138	230 276
3314	Implementation and Compliance Committee									
3387	Donor round table meetings	4 000	4 000	4 000	12 000	4 000	4 000	4 000	12 000	24 000
3399	Total	171 730	149 338	316 784	637 852	4 000	119 138	178 634	301 772	939 624
3999	Component total	171 730	149 338	316 784	637 852	4 000	119 138	178 634	301 772	939 624
40	Equipment and premises component									
4100	Expendable equipment									
4101	Office supplies (Geneva)	7 000	4 250	7 000	18 250	7 000	4 250	7 000	18 250	36 500
4102	Office supplies (Rome)		4 250		4 250		4 250		4 250	8 500
4103	Software (Webinars)	15 000	15 000	15 000	45 000	15 000	15 000	15 000	45 000	90 000
4104	Software/hardware (information management of the Secretariat)	2 800	1 900	2 800	7 500	2 800	1 900	2 800	7 500	15 000
4199	Total	24 800	25 400	24 800	75 000	24 800	25 400	24 800	75 000	150 000
4200	Non-expendable equipment									
4201	Non-expendable equipment (Geneva)	5 000	2 500	5 000	12 500	5 000	2 500	5 000	12 500	25 000
4202	Non-expendable equipment (Rome)		2 500		2 500		2 500		2 500	5 000
4203	IT equipment (Geneva)	42 200	8 100	62 200	112 500	42 200	8 100	62 200	112 500	225 000
4204	IT equipment (Rome)		17 500		17 500		17 500		17 500	35 000
4299	Total	47 200	30 600	67 200	145 000	47 200	30 600	67 200	145 000	290 000
4300	Premises									
4301	Office space, maintenance, utilities (Geneva)	75 000	35 000	145 000	255 000	75 000	35 000	145 000	255 000	510 000
4399	Total	75 000	35 000	145 000	255 000	75 000	35 000	145 000	255 000	510 000
4999	Component total	147 000	91 000	237 000	475 000	147 000	91 000	237 000	475 000	950 000
50	Miscellaneous component									
5100	Operation and maintenance of equipment									
5101	Maintenance of office equipment (Geneva)	5 000	2 500	5 000	12 500	5 000	2 500	5 000	12 500	25 000
5102	Maintenance of office equipment (Rome)		2 500		2 500		2 500		2 500	5 000

5199	Total	5 000	5 000	5 000	15 000	5 000	5 000	5 000	15 000	30 000
5200	Reporting costs									
5201	Publications (core publications)	26 700	26 600	26 700	80 000	6 700	6 600	6 700	20 000	100 000
5202	Printing and translation (information management of the Secretariat)	13 900	3 200	19 900	37 000	13 900	3 200	19 900	37 000	74 000
5203	Information/public awareness materials (regional centres)									
5204	Printing and translation (technical guidelines BC)	101 000			101 000					101 000
	Printing and translation (technical guidelines BC) additional	68 875			68 875					68 875
5205	Printing/translation (joint communication)	1 000	1 000	1 000	3 000	1 000	1 000	1 000	3 000	6 000
5212	PIC circular		30 000		30 000		30 000		30 000	60 000
5283	Printing/translation (Synergies review)	5 000	3 500	5 000	13 500					13 500
5287	Printing/translation (outreach material for funding partners)	1 000	1 000	1 000	3 000	1 000	1 000	1 000	3 000	6 000
5299	Total	217 475	65 300	53 600	336 375	22 600	41 800	28 600	93 000	429 375
5300	Sundry									
5301	Communications (Geneva)	61 000	15 600	81 000	157 600	61 000	15 600	81 000	157 600	315 200
5302	Communications (Rome)		5 000		5 000		5 000		5 000	10 000
5303	Communications (internet line)	10 300	6 900	10 300	27 500	10 300	6 900	10 300	27 500	55 000
5399	Total	71 300	27 500	91 300	190 100	71 300	27 500	91 300	190 100	380 200
5400	Hospitality									
5401	Hospitality	5 000	5 000	5 000	15 000	5 000	5 000	5 000	15 000	30 000
5499	Total	5 000	5 000	5 000	15 000	5 000	5 000	5 000	15 000	30 000
5999	Component total	298 775	102 800	154 900	556 475	103 900	79 300	129 900	313 100	869 575
	Direct project cost operational budget	4 248 543	3 690 105	5 037 081	12 975 730	4 074 328	3 519 432	5 158 248	12 752 008	25 727 737
	UNEP programme support costs 13%	552 311	479 714	654 821	1 686 845	529 663	457 526	670 572	1 657 761	3 344 606
	Total operational budget	4 800 854	4 169 819	5 691 902	14 662 575	4 603 990	3 976 959	5 828 820	14 409 769	29 072 343

Basel Convention Trust Fund	2014	2015	2014–2015 Total	2016	2017	2016–2017 Total
Approved budget for the biennium 2014–2015 (Decision BC-11/26)	4 846 783	4 838 057	9 684 840			
Proposed budget for the biennium 2016–2017				4 800 854	4 603 990	9 404 844
Approved average annual budget for the biennium 2014–2015			4 842 420			
Proposed average annual budget for the biennium 2016–2017						4 702 422
Increase in the average annual budget						-2.89%
Deduction from reserve and fund balance	2 000	2 000	4 000			
Increase in working capital reserve	25 525		25 525	(21 000)		(21 000)
Covered by parties	4 870 308	4 836 057	9 706 365	4 779 854	4 603 990	9 383 845
Percentage increase in contributions from year to year	9.73%	-0.70%		-1.16%	-3.68%	
Average annual contributions for the biennium 2014–2015			4 853 183			
Average annual contributions for the biennium 2016–2017						4 691 922
Increase in average annual contributions						-3.32%
Working capital reserve based on average operational budget for 2014–2015 (15%)			726 363			
Working capital reserve based on average operational budget for 2016–2017 (15%)						705 363
Rotterdam Convention Trust Fund	2014	2015	2014–2015 Total	2016	2017	2016–2017 Total
Approved budget for the biennium 2014–2015 (Decision RC- 6/16)	3 727 472	3 910 302	7 637 774			
Proposed budget for the biennium 2016–2017				4 169 819	3 976 959	8 146 778
Approved average annual budget for the biennium 2014–2015			3 818 887			
Proposed average annual budget for the biennium 2016–2017						4 073 389
Increase in the average annual budget						6.66%
Deduction from reserve and fund balance	2 000	2 000	4 000	161 216	161 216	322 431
Increase in working capital reserve	9 168		9 168	38 175		38 175
Deduction from special contingency reserve				189 015		189 015
Increment to the special contingency reserve: index to fluctuations in salary scales		25 078	25 078			
Grand total	3 734 640	3 933 380	7 668 020	3 857 764	3 815 743	7 673 507
Host country contributions*	1 358 344	1 358 344	2 716 688	1 320 000	1 200 000	2 520 000
Covered by parties	2 376 296	2 575 036	4 951 332	2 537 764	2 615 743	5 153 507
Percentage increase in contributions from year to year	8.70%	8.36%		-1.45%	3.07%	
Average annual contributions for the biennium			2 475 666			

2014–2015	
Average annual contributions for the biennium 2016–2017	2 576 753
Increase in the average annual contributions	4.08%
Working capital reserve based on the average operational budget for 2014–2015 (15%)	572 833
Working capital reserve based on the average operational budget for 2016–2017 (15%)	611 008

* EUR 1,200,000 per annum for the biennium 2016–2017 equal US\$ 1,513,241 based on the United Nations exchange rate of 1 November 2014 – US\$ 1.00 = EUR 0.793. Calculated by using the average United Nations exchange rate between January 2013 and November 2014 (23 months), it is US\$ 1.00 = EUR 0.75 EUR - equal to US\$1,600,000 (calculated at the same level for both years). Of the Swiss portion of the host country contribution, 35 per cent is re-allocated to RVL for 2016 and 50 per cent for 2017, equal to US\$ US\$280,000 in 2016 and US\$400,000 in 2017.

Stockholm Convention Trust Fund	2014	2015	2014–2015 Total	2016	2017	2016–2017 Total
Approved budget for the biennium 2014–2015 (Decision SC-6/30)	5 732 172	6 048 917	11 781 089			
Proposed budget for the biennium 2016–2017				5 691 902	5 828 820	11 520 721
Approved average annual budget for the biennium 2014–2015			5 890 545			
Proposed average annual budget for the biennium 2016–2017						5 760 361
Increase in the average annual budget						-2.21%
Deduction from reserve and fund balance	2 000	2 000	4 000			
Increase in working capital reserve	(2 708)		(2 708)	259 932		259 932
Grand total	5 727 464	6 046 917	11 774 381	5 951 833	5 828 820	11 780 653
Host country contributions*	1 004 489	995 615	2 000 104	1 025 155	1 020 775	2 045 930
Covered by parties	4 722 975	5 051 302	9 774 277	4 926 678	4 808 045	9 734 723
Percentage increase in contributions from year to year	7.22%	6.95%		-2.47%	-2.41%	
Average annual contributions for the biennium 2014–2015			4 887 139			
Average annual contributions for the biennium 2016–2017						4 867 361
Increase in average annual contributions						-0.40%
Working capital reserve based on average operational budget for 2014–2015 (8.3%)			488 915			
Working capital reserve based on average operational budget for 2016–2017 (13%)						748 847

* Swiss contributions of CHF 1,000,000 per annum for the biennium 2016–2017 equal US\$1,046,025 based on the United Nations exchange rate of 1 November 2014 – US\$ 1.00 = CHF 0.956. Calculated by using the average United Nations exchange rate between January 2013 and November 2014 (23 months) it is US\$ 1.00 = CHF 0.916 - equal to US\$1,091,703 (calculated at the same level for both years).

	2014	2015	2016	2017
Host country contributions	1 004 489	995 615	1 025 155	1 020 775
Assessed contributions	65 030	73 904	66 548	70 928
Total	1 069 519	1 069 519	1 091 703	1 091 703

Table 3

Programme of work for 2016–2017 funded via the voluntary special and technical cooperation trust funds of the Basel (BD), Rotterdam (RV) and Stockholm (SV) conventions

Voluntary budget for 2016–2017 (in United States dollars)

Summary table of total costs per budget code level and by convention trust fund

		2016				2017			2016–2017	
		BD	RV	SV	Total	BD	RV	SV	Total	Total
10 Project personnel component										
1100	Professional staff									
1101	Programme Officer P-3	179 200			179 200	186 368			186 368	365 568
1114	Programme Officer P-3	179 200			179 200	186 368			186 368	365 568
1115	Programme Officer P-3	179 200			179 200	186 368			186 368	365 568
1116	Programme Officer P-3 (new)					93 184			93 184	93 184
	<i>Subtotal BC staff</i>	<i>537 600</i>				<i>652 288</i>			<i>652 288</i>	<i>652 288</i>
1124	Programme Officer P-4 (new)			216 700	216 700			225 368	225 368	442 068
1121	Programme Officer P-3			179 200	179 200			186 368	186 368	365 568
1126	Programme Officer P-3			179 200	179 200			186 368	186 368	365 568
	<i>Subtotal SC staff</i>			<i>575 100</i>	<i>575 100</i>			<i>598 104</i>	<i>598 104</i>	<i>1 173 204</i>
1199	Total	537 600		575 100	1 112 700	652 288		598 104	1 250 392	2 363 092
1200	Consultants									
1201	Consultants - development of tools and modules	41 000	42 000	42 000	125 000	10 000	10 000	10 000	30 000	155 000
1202	Consultants – capacity-building and training (BC)	30 000			30 000	20 000			20 000	50 000
1203	Consultants – capacity-building and training (RC)		67 000		67 000		38 000		38 000	105 000
1204	Consultants – capacity-building and training (SC)			60 000	60 000			30 000	30 000	90 000
1205	Consultants – capacity-building and training (BC RC SC)	8 000	10 000	8 000	26 000	5 000	5 000	4 000	14 000	40 000
1206	Consultants- partnerships	60 000	30 000	30 000	120 000	10 000			10 000	130 000
1207	Consultants- technical guidelines									
1208	Consultants- technical guidelines (E-waste)	70 000			70 000	70 000			70 000	140 000
	Consultants- technical guidelines (E-waste) - additional	60 000			60 000	50 000			50 000	110 000

		2016				2017			2016-2017	
		BD	RV	SV	Total	BD	RV	SV	Total	Total
1209	Consultant (ESM household waste)	40 000			40 000					40 000
1210	Consultants - scientific support to RC		30 000		30 000					30 000
1211	Consultants- technical guidelines (E-waste)			10 000	10 000			10 000	10 000	20 000
	Consultants- technical guidelines (E-waste) - additional			10 000	10 000					10 000
1210	Consultants - scientific support to SC			150 000	150 000			50 000	50 000	200 000
1212	Consultants - support for development and maintenance of national reporting tools (BC SC)	10 000			10 000	50 000			50 000	60 000
1213	Support for further work on ESM	50 000			50 000	50 000			50 000	100 000
1214	Consultants – CLI-related activities	27 000			27 000	27 000			27 000	54 000
1215	Consultants - Strategic framework (mid-term evaluation report)	20 000			20 000	20 000			20 000	40 000
1216	Consultant (online questionnaire)			20 000	20 000					20 000
1283	Consultant (scientific bodies)	4 000	4 000	4 000	12 000					12 000
1285	Consultants (webinars & online training)	40 000	40 000	50 000	130 000	20 000	20 000	20 000	60 000	190 000
1286	Consultants (technical assistance needs assessment)		10 000		10 000					10 000
1287	Consultants (Resource Kit & e-library)	20 000	20 000	20 000	60 000	15 000	15 000	15 000	45 000	105 000
1289	Consultants - regional centres	12 500		12 500	25 000					25 000
1284	Consultant (illegal traffic)	20 000			20 000					20 000
1282	Consultants (information management of the Secretariat)	14 400	11 200	14 400	40 000	14 400	11 200	14 400	40 000	80 000
1285	Consultants (clearing-house mechanism based on priorities)	28 000	20 500	28 000	76 500	28 000	20 500	28 000	76 500	153 000
1299	Total	554 900	284 700	458 900	1 298 500	389 400	119 700	181 400	690 500	1 989 000
13	Administrative support									
1300	General Service staff									
1323	GTA Conference Clerk		137 500		137 500		143 000		143 000	280 500
1306	GTA Public Information Clerk		137 500		137 500		143 000		143 000	280 500

		2016				2017			2016-2017	
		BD	RV	SV	Total	BD	RV	SV	Total	Total
	<i>Subtotal RO staff</i>		275 000				286 000		286 000	286 000
1322	GTA Programme Clerk			137 500	137 500			143 000	143 000	280 500
1323	Team Assistant (new)			137 500	137 500			143 000	143 000	280 500
	<i>Subtotal SC staff</i>			275 000	275 000			286 000	286 000	561 000
	<i>General Service staff subtotal</i>		275 000	275 000	550 000		286 000	286 000	572 000	1 122 000
1330	Conference servicing									
1322	BC Open-ended Working Group (1 day extra interpretation)	25 960			25 960					25 960
1380	Conference servicing (regional centres)	7 000			7 000			7 000	7 000	14 000
	<i>Conference servicing subtotal</i>	32 960			32 960			7 000	7 000	39 960
1399	Total	32 960	275 000	275 000	582 960		286 000	293 000	579 000	1 161 960
1600	Travel on official business									
1601	Staff travel - orientation workshop for members of CRC		2 480		2 480					2 480
1602	Staff travel – capacity-building and training BC	56 000			56 000	34 000			34 000	90 000
1603	Staff travel – capacity-building and training RC		30 000		30 000		10 000		10 000	40 000
1604	Staff travel - capacity building and training SC			30 000	30 000			20 000	20 000	50 000
1680	Staff travel – capacity-building and training (BC, RC, SC)	13 000	12 000	13 000	38 000	12 000	15 000	15 000	42 000	80 000
1681	Staff travel -regional centres	15 000		15 000	30 000	5 000		5 000	10 000	40 000
1607	Staff travel - scientific support to BC	15 000			15 000	15 000			15 000	30 000
1608	Staff travel - scientific support to RO		2 500		2 500		2 500		2 500	5 000
1609	Staff travel - scientific support to SC			16 000	16 000			12 000	12 000	28 000
1610	Staff travel – SC GMP			4 000	4 000			4 000	4 000	8 000
1611	Staff travel - CLI and legal clarity	5 000			5 000	5 000			5 000	10 000
1683	Staff travel - regional preparatory meetings					10 977	10 977	10 977	32 931	32 931
1699	Total	104 000	46 980	78 000	228 980	81 977	38 477	66 977	187 431	416 411
1999	Component total	1 229 460	606 680	1 387 000	3 223 140	1 123 665	444 177	1 139 481	2 707 323	5 930 463

		2016				2017			2016–2017		
		BD	RV	SV	Total	BD	RV	SV	Total	Total	
20	Subcontract component										
	2200	Subcontracts									
	2201	Development of tools and modules	40 000	40 000	40 000	120 000	80 000	80 000	80 000	240 000	360 000
	2202	Capacity-building and training (BC)	160 000			160 000	100 000			100 000	260 000
	2203	Capacity-building and training (RC)		318 000		318 000		222 000		222 000	540 000
	2204	Capacity-building and training (SC)			340 000	340 000			235 000	235 000	575 000
	2280	Development of massive open online courses (MOOCs)	45 000	45 000	50 000	140 000	20 000	20 000	20 000	60 000	200 000
	2282	Capacity-building and training (BC, RC, SC)	81 000	83 000	77 000	241 000	26 000	27 000	56 000	109 000	350 000
	2284	Financial audit of technical assistance projects at national and regional levels	35 000	35 000	35 000	105 000	35 000	35 000	35 000	105 000	210 000
	2283	Partnerships	20 000	140 000	20 000	180 000	7 000	127 000	6 000	140 000	320 000
	2281	Pilot joint activities (regional centres)	200 000		200 000	400 000	200 000		200 000	400 000	800 000
	2287	Resource Kit and e-library	20 000	20 000	20 000	60 000	15 000	15 000	15 000	45 000	105 000
	2207	Global Monitoring Plan			220 000	220 000			200 000	200 000	420 000
	2208	Work programme of ICC	60 000			60 000	60 000			60 000	120 000
	2209	Implementation Fund	75 000			75 000	75 000			75 000	150 000
	2212	Support for further work on ESM	50 000			50 000	50 000			50 000	100 000
	2199	Total	786 000	681 000	1 002 000	2 469 000	668 000	526 000	847 000	2 041 000	4 510 000
2999	Component total		786 000	681 000	1 002 000	2 469 000	668 000	526 000	847 000	2 041 000	4 510 000
30	Training component										
	3200	Training									
	3201	Training and capacity-building BC	563 000			563 000	421 000			421 000	984 000
	3303	Training and workshops (RC)		741 600		741 600		494 400		494 400	1 236 000
	3203	Training and workshops (SC)			736 800	736 800			319 200	319 200	1 056 000
	3283	Training and workshops (BC, RC, SC)	365 000	368 000	365 000	1 098 000	245 000	259 000	248 000	752 000	1 850 000
	3282	Training modules						40 000		40 000	40 000
	3280	Video training									
	3299	Total	998 000	1 129 600	1 121 800	3 249 400	736 000	813 400	587 200	2 136 600	5 386 000
	3300	Meetings: participant travel and DSA									

		2016				2017			2016–2017	
		BD	RV	SV	Total	BD	RV	SV	Total	Total
3301	Conference of the Parties to BC					814 000			814 000	814 000
3302	BC Open-ended Working Group	643 552			643 552					643 552
3303	Implementation and Compliance Committee	30 280			30 280					30 280
3305	Technical expert group (ESM)	50 000			50 000	50 000			50 000	100 000
3306	Meetings of SIWG	35 000			35 000	25 000			25 000	60 000
3307	Intersessional meeting (technical guidelines, BC) additional	30 000			30 000					30 000
3308	Meeting (ESM household waste)	70 000			70 000	70 000			70 000	140 000
3309	Annual meeting of Basel Convention regional centres	67 000			67 000					67 000
3301	Conference of the Parties to SC							814 000	814 000	814 000
3302	POPs Review Committee			20 632	20 632			85 102	85 102	105 734
3305	Expert group meetings (SC)			40 000	40 000			40 000	40 000	80 000
3314	Implementation and Compliance Committee									
3309	Annual meeting of Stockholm Convention regional centres							67 000	67 000	67 000
3311	Conference of the Parties to RC						814 000		814 000	814 000
3309	Orientation workshop for members of Chemical Review Committee		87 055		87 055					87 055
3310	Meetings: training and capacity-building RC		50 000		50 000		50 000		50 000	100 000
3313	Workshop on listings not adopted by COP		120 000		120 000					120 000
3314	Implementation and Compliance Committee									
3386	Massive open online courses (MOOCs)	10 000	10 000	10 000	30 000	10 000	10 000	10 000	30 000	60 000
3382	Joint meetings of Basel and Stockholm regional centres									
3384	Outreach and public awareness (joint media workshop)					17 500	15 000	17 500	50 000	50 000
3385	Conference servicing (regional preparatory meetings)					153 186	153 186	153 186	459 558	459 558

		2016				2017			2016-2017	
		BD	RV	SV	Total	BD	RV	SV	Total	Total
3399	Total	935 832	267 055	70 632	1 273 519	1 139 686	1 042 186	1 186 788	3 368 660	4 642 179
3999	Component total	1 933 832	1 396 655	1 192 432	4 522 919	1 875 686	1 855 586	1 773 988	5 505 260	10 028 179
40 EQUIPMENT AND PREMISES COMPONENT										
4100	Expendable equipment									
4101	Software (development of training tools and modules)	20 000		20 000	40 000					40 000
4199	Total	20 000		20 000	40 000					40 000
4999	Component total									
50	Miscellaneous component									
5200	Reporting costs									
5201	Information/public awareness materials (capacity-building BC)									
5201	Information/public awareness materials (capacity-building RC)	61 000			61 000	25 000			25 000	86 000
5201	Information/public awareness materials (capacity-building SC)		22 000		22 000		14 000		14 000	36 000
5202	Information/public awareness materials (partnerships)			24 000	24 000			12 000	12 000	36 000
5203	Translation of notifications					35 000			35 000	35 000
5210	Printing/translation (training tools and modules)	35 000			35 000	35 000			35 000	70 000
5212	Information/public awareness materials (regional centres)	63 000	43 000	53 000	159 000	42 000	2 000	62 000	106 000	265 000
5213	Information/public awareness materials (capacity building BC, RC, SC)	10 000		10 000	20 000					20 000
5215	Information/public awareness materials (Newsletter)	30 000	32 000	32 000	94 000	18 000	13 000	10 000	41 000	135 000
5221	Printing/translation (technical assistance needs assessment)		5 000		5 000		5 000		5 000	10 000
5222	Resource Kit and e-library	4 000	4 500	4 000	12 500	4 000	4 500	4 000	12 500	25 000
5299	Total	4 000	4 500	4 000	12 500	9 000	4 500	9 000	22 500	35 000
5999	Component total	207 000	111 000	127 000	445 000	168 000	43 000	97 000	308 000	753 000
Direct project cost operational budget		4 176 292	2 795 335	3 728 432	10 700 059	3 835 351	2 868 763	3 857 469	10 561 583	21 261 642
UNEP programme support costs 13%		542 918	363 394	484 696	1 391 008	498 596	372 939	501 471	1 373 006	2 764 013
Total operational budget		4 719 210	3 158 729	4 213 128	12 091 067	4 333 947	3 241 702	4 358 940	11 934 589	24 025 655

Basel Convention Technical Cooperation Trust Fund (BD)	2014	2015	2014–2015 total	2016	2017	2016–2017 total
Programme requirements approved for the biennium 2014–2015 (Decision BC-11/26)	3 620 847	3 640 605	7 261 452			
Programme requirements for 2016–2017				4 719 210	4 333 947	9 053 157
Approved average annual budget for 2014–2015			3 630 726			
Proposed average annual budget for 2016–2017						4 526 578
Decrease in the average annual budget						24.67%
<hr/>						
Voluntary Special Trust Fund for the Rotterdam Convention (RV)	2014	2015	2014–2015 total	2016	2017	2016–2017 total
Programme requirements approved for the biennium 2014–2015 (Decision RC-6/16)	3 195 442	4 041 011	7 236 453			
Programme requirements for the biennium 2016–2017				3 158 729	3 241 702	6 400 431
Approved average annual budget for the biennium 2014–2015			3 618 227			
Proposed average annual budget for the biennium 2016–2017						3 200 215
Increase in the average annual budget						-11.55%
<hr/>						
Special Trust Fund for the Stockholm Convention (SV)	2014	2015	2014–2015 total	2016	2017	2016–2017 total
Programme requirements approved for the biennium 2014–2015 (Decision SC-6/30)	3 765 550	4 186 982	7 952 532			
Programme requirements for the biennium 2016–2017				4 213 128	4 358 940	8 572 068
Approved average annual budget for the biennium 2014–2015			3 976 266			
Proposed average annual budget for the biennium 2016–2017						4 286 034
Increase in the average annual budget						7.79%

Party	United Nations Scale of assessments 2013**	Scale with 22% ceiling and 0.010% base	Assessed contributions to be covered by the Parties 2016	Assessed contributions to be covered by the Parties 2017	
43	Czech Republic	0.386	0.515	25 385	24 774
44	Democratic People's Republic of Korea	0.006	0.010	493	481
45	Democratic Republic of the Congo	0.003	0.010	493	481
46	Denmark	0.675	0.901	44 391	43 322
47	Djibouti	0.001	0.010	493	481
48	Dominica	0.001	0.010	493	481
49	Dominican Republic	0.045	0.060	2 959	2 888
50	Ecuador	0.044	0.059	2 894	2 824
51	Egypt	0.134	0.179	8 812	8 600
52	El Salvador	0.016	0.021	1 052	1 027
53	Eritrea	0.001	0.010	493	481
54	Estonia	0.040	0.053	2 631	2 567
55	Ethiopia	0.010	0.013	658	642
56	European Union	2.500	2.500	123 167	120 201
57	Fiji	0.003	0.010	493	481
58	Finland	0.519	0.693	34 132	33 310
59	France	5.593	7.466	367 820	358 963
60	Gabon	0.020	0.027	1 315	1 284
61	Gambia	0.001	0.010	493	481
62	Georgia	0.007	0.010	493	481
63	Germany	7.141	9.532	469 623	458 314
64	Ghana	0.014	0.019	921	899
65	Greece	0.638	0.852	41 958	40 947
66	Guatemala	0.027	0.036	1 776	1 733
67	Guinea	0.002	0.010	493	481
68	Guinea-Bissau	0.001	0.010	493	481
69	Guyana	0.001	0.010	493	481
70	Honduras	0.008	0.010	493	481
71	Hungary	0.266	0.355	17 493	17 072
72	Iceland	0.027	0.036	1 776	1 733
73	India	0.666	0.889	43 799	42 744
74	Indonesia	0.346	0.462	22 754	22 207
75	Iran (Islamic Republic of)	0.356	0.475	23 412	22 848
76	Ireland	0.418	0.558	27 489	26 828
77	Jamaica	0.011	0.015	723	706
78	Japan	10.833	14.461	712 425	695 270
79	Jordan	0.022	0.029	1 447	1 412
80	Kazakhstan	0.121	0.162	7 957	7 766
81	Kenya	0.013	0.017	855	834
82	Kiribati	0.001	0.010	493	481
83	Kuwait	0.273	0.364	17 954	17 521
84	Kyrgyzstan	0.002	0.010	493	481
85	Lao People's Democratic Republic	0.002	0.010	493	481
86	Latvia	0.047	0.063	3 091	3 016
87	Lebanon	0.042	0.056	2 762	2 696
88	Lesotho	0.001	0.010	493	481
89	Liberia	0.001	0.010	493	481
90	Libya	0.142	0.190	9 339	9 114
91	Liechtenstein	0.009	0.010	493	481
92	Lithuania	0.073	0.097	4 801	4 685

Party	United Nations Scale of assessments 2013**	Scale with 22% ceiling and 0.010% base	Assessed contributions to be covered by the Parties 2016	Assessed contributions to be covered by the Parties 2017	
93	Luxembourg	0.081	0.108	5 327	5 199
94	Madagascar	0.003	0.010	493	481
95	Malawi	0.002	0.010	493	481
96	Maldives	0.001	0.010	493	481
97	Mali	0.004	0.010	493	481
98	Marshall Islands	0.001	0.010	493	481
99	Mauritania	0.002	0.010	493	481
100	Mauritius	0.013	0.017	855	834
101	Mexico	1.842	2.459	121 138	118 221
102	Micronesia (Federated States of)	0.001	0.010	493	481
103	Monaco	0.012	0.010	493	481
104	Mongolia	0.003	0.010	493	481
105	Montenegro	0.005	0.010	493	481
106	Morocco	0.062	0.083	4 077	3 979
107	Mozambique	0.003	0.010	493	481
108	Myanmar	0.010	0.013	658	642
109	Namibia	0.010	0.013	658	642
110	Nauru	0.001	0.010	493	481
111	Nepal	0.006	0.010	493	481
112	Netherlands	1.654	2.208	108 774	106 155
113	New Zealand	0.253	0.338	16 638	16 238
114	Nicaragua	0.003	0.010	493	481
115	Niger	0.002	0.010	493	481
116	Nigeria	0.090	0.120	5 919	5 776
117	Niue	0.001	0.010	493	481
118	Norway	0.851	1.136	55 965	54 618
119	Oman	0.102	0.136	6 708	6 546
120	Pakistan	0.085	0.113	5 590	5 455
121	Palau	0.001	0.010	493	481
122	Panama	0.026	0.035	1 710	1 669
123	Papua New Guinea	0.004	0.010	493	481
124	Paraguay	0.010	0.013	658	642
125	Peru	0.117	0.156	7 694	7 509
126	Philippines	0.154	0.206	10 128	9 884
127	Poland	0.921	1.229	60 569	59 110
128	Portugal	0.474	0.633	31 172	30 422
129	Qatar	0.209	0.279	13 745	13 414
130	Republic of Korea	1.994	2.662	131 134	127 976
131	Republic of Moldova	0.003	0.010	493	481
132	Romania	0.226	0.302	14 863	14 505
133	Russian Federation	2.438	3.254	160 333	156 473
134	Rwanda	0.002	0.010	493	481
135	Saint Kitts and Nevis	0.001	0.010	493	481
136	Saint Lucia	0.001	0.010	493	481
137	Saint Vincent and the Grenadines	0.001	0.010	493	481
138	Samoa	0.001	0.010	493	481
139	Sao Tome and Principe	0.001	0.010	493	481
140	Saudi Arabia	0.864	1.153	56 820	55 452
141	Senegal	0.006	0.010	493	481
142	Serbia	0.040	0.053	2 631	2 567

Party	United Nations Scale of assessments 2013**	Scale with 22% ceiling and 0.010% base	Assessed contributions to be covered by the Parties 2016	Assessed contributions to be covered by the Parties 2017	
143	Seychelles	0.001	0.010	493	481
144	Sierra Leone	0.001	0.010	493	481
145	Singapore	0.384	0.513	25 253	24 645
146	Slovakia	0.171	0.228	11 246	10 975
147	Slovenia	0.100	0.133	6 576	6 418
148	Solomon Islands	0.001	0.010	493	481
149	Somalia	0.001	0.010	493	481
150	South Africa	0.372	0.497	24 464	23 875
151	Spain	2.973	3.969	195 517	190 809
152	Sri Lanka	0.025	0.033	1 644	1 605
153	Sudan	0.010	0.010	493	481
154	Suriname	0.004	0.010	493	481
155	Swaziland	0.003	0.010	493	481
156	Sweden	0.960	1.281	63 134	61 613
157	Switzerland	1.047	1.398	68 855	67 197
158	Syrian Arab Republic	0.036	0.048	2 368	2 311
159	Tajikistan	0.003	0.010	493	481
160	Thailand	0.239	0.319	15 718	15 339
161	The former Yugoslav Republic of Macedonia	0.008	0.010	493	481
162	Togo	0.001	0.010	493	481
163	Tonga	0.001	0.010	493	481
164	Trinidad and Tobago	0.044	0.059	2 894	2 824
165	Tunisia	0.036	0.048	2 368	2 311
166	Turkey	1.328	1.773	87 335	85 232
167	Tuvalu	0.001	0.010	493	481
168	Uganda	0.006	0.010	493	481
169	Ukraine	0.099	0.132	6 511	6 354
170	United Arab Emirates	0.595	0.794	39 130	38 188
171	United Kingdom of Great Britain and Northern Ireland	5.179	6.913	340 593	332 392
172	United Republic of Tanzania	0.009	0.010	493	481
173	Uruguay	0.052	0.069	3 420	3 337
174	Vanuatu	0.001	0.010	493	481
175	Venezuela (Bolivarian Republic of)	0.627	0.837	41 234	40 241
176	Viet Nam	0.042	0.056	2 762	2 696
177	Yemen	0.010	0.013	658	642
178	Zambia	0.006	0.010	493	481
179	Zimbabwe	0.002	0.010	493	481
	Total	75	100	4 926 678	4 808 045

* New parties that have ratified the Convention.

** United Nations scale of assessment for the 2016–2017 period, per resolution 67/238 adopted at the sixty-seventh session of the General Assembly for the years 2013, 2014 and 2015 on 24 December 2012.

Table 5

**Indicative staffing table for the BRS Secretariat for the biennium 2016–2017
Funded from the general trust funds (used for costing purposes)**

Staff category and level	Approved 2014–2015				Total proposed 2016–2017				Remarks
	Core funded	FAO	UNEP PSC	Total	Core funded	FAO	UNEP PSC	Total	
A. Prof. category	-			-	-				
D-2	1.00	0.25		1.25	1.00	0.25		1.25	
D-1	1.00			1.00	1.00			1.00	
P-5	7.00	1.00		8.00	7.50			7.50	(1)
P-4	7.00		2.00	9.00	8.00		2.00	10.00	(2)
P-3	14.00	1.00		15.00	17.50	1.00		18.50	
P-2	4.00			4.00	2.00			2.00	
Subtotal A	34.00	2.25	2.00	38.25	37.00	1.25	2.00	40.25	
B. General Service category					-	-	-		
GS	14.00	1.25	6.00	21.25	13.00	1.25	6.00	20.25	(3)
Subtotal B	14.00	1.25	6.00	21.25	13.00	1.25	6.00	20.25	
Total (A+B)	48.00	3.50	8.00	59.50	50.00	2.50	8.00	60.50	

Remarks

- (1) Including 0.5 P-5 retiring December 2016 (BC), one P-5 retiring July 2017 (BC) and one P-5 post for FAO coordinator.
(2) Two administrative officers funded via programme support costs (PSC) (1 BC, 0.5 RC and 0.5 SC).
(3) Six General Service positions funded from programme support costs (2 BC and 4 shared between RC and SC).

Funded from the voluntary special and technical cooperation trust funds (used for costing purposes)

Staff category and level	Approved 2014–2015	Total proposed 2016–2017
A. Professional category		
D-2		
D-1		
P-5		
P-4		1.00
P-3	8.00	5.25
P-2		
<i>Subtotal A</i>	<i>8.00</i>	<i>6.25</i>
B. General Service category		
GS	3.00	4.00
<i>Subtotal B</i>	<i>3.00</i>	<i>4.00</i>
Total (A+B)	11.00	10.25

Standard amounts used for calculating salary costs for Geneva and Rome for the biennium 2016–2017 (in United States dollars)

Duty station: Geneva

Staff category and level	2012	2013	2014*	2015**	2016***	2017***
A. Professional category						
D-2	297 336	309 400	309 400	321 776	305 100	317 304
D-1	273 416	288 500	288 500	300 040	284 300	295 672
P-5	244 088	254 800	254 800	264 992	252 000	262 080
P-4	206 336	216 400	216 400	225 056	216 700	225 368
P-3	172 432	180 300	180 300	187 512	179 200	186 368
P-2	135 928	144 800	144 800	150 592	146 600	152 464
B. General Service category						
GS-6	162 240	170 400	170 400	177 216	170 200	177 008
GS-5	125 216	136 300	136 300	141 752	137 500	143 000

* United Nations standard salary costs for Geneva for the year 2013 were used to calculate the staff costs in 2014 (United Nations standard salary costs, version 21, dated 17 January 2013).

** Staff costs for 2015 were estimated by increasing the figures for 2014 by 4 per cent.

*** United Nations standard salary costs for Geneva for the year 2014 were used to calculate the staff costs in 2016 (United Nations standard salary costs, version 13, dated December 2014). Staff costs for 2017 were estimated by increasing the figures for 2016 by 4 per cent.

Duty station: Rome

Staff category and level	2012	2013	2014*	2015**	2016**	2017**
A. Professional category						
D-2	278 796	289 948	289 948	301 546	319 638	332 424
D-1	264 036	274 597	274 597	285 581	302 716	314 825
P-5	229 664	238 851	238 851	248 405	263 309	273 841
P-4	200 220	208 229	208 229	216 558	229 551	238 733
P-3	159 828	166 221	166 221	172 870	183 242	190 572
P-2	120 564	125 387	125 387	130 402	138 226	143 755
B. General Service category						
GS-5	114 912	119 508	119 508	124 289	131 746	137 016

* FAO standard salary costs for Rome for the year 2012 (version June 2012) were used to calculate the staff costs in 2014.

** Staff costs for 2015 were estimated by increasing the figure for 2014 by 4 per cent.

*** FAO standard salary costs for Rome for the year 2014 were used to calculate the staff costs in 2016 (calculated by increasing the 2014 amount by 6 per cent for improved cost recovery uplift (ICRU) and then adding another 4 per cent). Staff costs for 2017 were estimated by using the figures for 2016 plus 4 per cent. Subject to revision by FAO during 2014–2015.