Basel Convention Implementation and Compliance Committee

Classification of compliance performance with the national reporting obligation for 2013 as of 5 February 2016:

draft for comments by Parties by 31 August 2016

I. Graphics on reporting rates[[1]](#footnote-1)1

1. Overall reporting rates for the years 2009-2013[[2]](#footnote-2)2
2. **Reporting rates for completeness (2010-2013)**[[3]](#footnote-3)3
3. **Reporting rates for timeliness (2010-2013)**[[4]](#footnote-4)4

**II. Classification of Parties’ compliance performance with respect to the annual reporting obligation for the year 2013 – regional grouping**

**Year 2013 (as of 5 February 2016)**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Number of State parties per region** | **Not required to report for 2013** | **Number of parties required to report for 2013** | **Fully reported on time** | **Fully reported but late** | **Reporting incomplete but on time** | **Reporting incomplete and late** | **Not reported** |
| **Africa** | **51** | 1 | **50** | 1 | 1 | 9 | 4 | 35 |
| **Asia** | **51** | 3 | **48** | 1 | 1 | 6 | 8 | 32 |
| **Eastern Europe** | **22** | 0 | **22** | 3 | 0 | 5 | 7 | 7 |
| **Latin America and the Caribbean** | **31** | 0 | **31** | 1 | 1 | 5 | 5 | 19 |
| **Western Europe and others** | **27** | 0 | **27** | 3 | 2 | 6 | 9 | 7 |
| **All Parties (sum of above)** | **182** | **4**[[5]](#footnote-5)1 | **178** | **9** | **5** | **31** | **33** | **100** |

III. Classification of Parties’ compliance performance with respect to the annual reporting obligation for the year 2013– regional grouping: completeness of Parts I and II of the questionnaire (as of 5 February 2016)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **Number of parties required to report for 2013** | **Number of parties that did not report** | **Number of parties that reported (complete and incomplete)** | **Completeness of  Parts I and II** |
| Africa | 50 | 35 | 15 | Both parts complete: 2 |
| Part I only complete: 3 |
| Part II only complete: 0 |
| Both parts incomplete: 10 |
|  | | | | |
| Asia | 48 | 32 | 16 | Both parts complete: 2 |
| Part I only complete: 8 |
| Part II only complete: 0 |
| Both parts incomplete: 6 |
|  | | | | |
| Eastern Europe | 22 | 7 | 15 | Both parts complete: 3 |
| Part I only complete: 8 |
| Part II only complete: 0 |
| Both parts incomplete: 4 |
|  | | | | |
| Latin America and the Caribbean | 31 | 19 | 12 | Both parts complete: 2 |
| Part I only complete: 8 |
| Part II only complete: 0 |
| Both parts incomplete: 2 |
|  | | | | |
| Western Europe and others | 27 | 7 | 20 | Both parts complete: 5 |
| Part I only complete: 12 |
| Part II only complete: 0 |
| Both parts incomplete: 3 |
| Totals: | 178 | 100 | 78 |  |

IV. Overview of reporting rates and timeliness of reporting for the year 2013 – Regional grouping (as of 5 February 2016)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Reporting year** | **Item** | **Total** | **Africa** | **Asia and Pacific** | **CEE** | **LAC** | **WEOG** |
| **2013** | Number of Parties required to report | 178 | 50 | 48 | 22 | 31 | 27 |
| Number of reports submitted | 78 | 15 | 16 | 15 | 12 | 20 |
| Percentage of Parties reporting | 44% | 30% | 33% | 68% | 39% | 74% |
| Number of reports submitted on time | 40 | 10 | 7 | 8 | 6 | 9 |
| Percentage of reports that were submitted on time | 51% | 67% | 44% | 53% | 50% | 45% |
| Percentage of Parties required to report that submitted reports on time | 22% | 20% | 15% | 36% | 19% | 33% |

V. Classification of Parties’ compliance performance with respect to the annual reporting obligation for the year 2013 (as of 5 February 2016) - Breakdown on a Party basis

**Africa Region**

|  | **Party** | **Fully reported and on time** | **Fully reported, but late** | **Incomplete, but on time** | | **Incomplete and late** | | **Not reported** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **PART I incomplete** | **PART II incomplete** | **PART I incomplete** | **PART II incomplete** |
| 1 | Algeria |  |  | X | X |  |  |  |
| 2 | Benin |  |  |  |  |  |  | X |
| 3 | Botswana |  |  |  |  |  |  | X |
| 4 | Burkina Faso |  |  |  |  |  |  | X |
| 5 | Burundi |  |  |  |  |  |  | X |
| 6 | Cameroon |  |  |  |  |  |  | X |
| 7 | Cabo Verde |  |  | X | X |  |  |  |
| 8 | Central African Republic |  |  |  |  |  |  | X |
| 9 | Chad |  |  |  |  |  |  | X |
| 10 | Comoros |  |  |  |  |  |  | X |
| 11 | Congo (Republic of the) |  |  | X | X |  |  |  |
| 12 | Cote d'Ivoire |  |  |  | X |  |  |  |
| 13 | Democratic Republic of Congo |  |  |  |  |  |  | X |
| 14 | Djibouti |  |  |  |  |  |  | X |
| 15 | Egypt |  |  |  |  |  |  | X |
| 16 | Equatorial Guinea |  |  |  |  |  |  | X |
| 17 | Eritrea |  |  |  | X |  |  |  |
| 18 | Ethiopia |  |  |  |  |  |  | X |
| 19 | Gabon |  |  |  |  |  |  | X |
| 20 | Gambia |  |  |  |  |  |  | X |
| 21 | Ghana |  |  |  |  |  |  | X |
| 22 | Guinea |  |  |  |  |  |  | X |
| 23 | Guinea-Bissau |  |  |  |  |  | X |  |
| 24 | Kenya |  |  |  |  |  |  | X |
| 25 | Lesotho |  |  |  |  |  |  | X |
| 26 | Liberia |  |  |  |  |  |  | X |
| 27 | Libyan Arab Jamahiriya |  |  |  |  |  |  | X |
| 28 | Madagascar |  |  |  | X |  |  |  |
| 29 | Malawi |  |  |  |  |  |  | X |
| 30 | Mali |  |  |  |  |  |  | X |
| 31 | Mauritania |  |  |  |  |  |  | X |
| 32 | Mauritius |  |  |  |  |  |  | X |
| 33 | Morocco |  |  |  |  |  | X |  |
| 34 | Mozambique |  |  | X | X |  |  |  |
| 35 | Namibia |  |  |  |  |  |  | X |
| 36 | Niger |  |  |  |  |  |  | X |
| 37 | Nigeria |  |  | X | X |  |  |  |
| 38 | Rwanda |  |  |  | X |  |  |  |
| 39 | Senegal |  |  |  |  |  |  | X |
| 40 | Seychelles |  |  |  |  |  |  | X |
| 41 | Somalia |  |  |  |  |  |  | X |
| 42 | South Africa | X |  |  |  |  |  |  |
| 43 | Sudan |  |  |  |  |  |  | X |
| 44 | Swaziland |  |  |  |  |  | X |  |
| 45 | Togo |  | X |  |  |  |  |  |
| 46 | Tunisia |  |  |  |  | X | X |  |
| 47 | Uganda |  |  |  |  |  |  | X |
| 48 | United Republic of Tanzania |  |  |  |  |  |  | X |
| 49 | Zambia |  |  |  |  |  |  | X |
| 50 | Zimbabwe |  |  |  |  |  |  | X |
|  | **Totals for Africa** | **1** | **1** | **5** | **9** | **1** | **4** | **35** |

**Asia Region**

|  | **Party** | **Fully reported and on time** | **Fully reported, but late** | **Incomplete, but on time** | | **Incomplete and late** | | **Not reported** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **PART I incomplete** | **PART II incomplete** | **PART I incomplete** | **PART II incomplete** |
| 1 | Azerbaijan |  |  |  |  |  | X |  |
| 2 | Bahrain | X |  |  |  |  |  |  |
| 3 | Bangladesh |  |  |  |  |  |  | X |
| 4 | Bhutan |  |  |  |  |  |  | X |
| 5 | Brunei Darussalam |  |  |  |  |  |  | X |
| 6 | Cambodia |  |  |  |  |  |  | X |
| 7 | China |  |  |  |  | X | X |  |
| 8 | Cook Islands |  |  |  |  |  |  | X |
| 9 | Cyprus |  | X |  |  |  |  |  |
| 10 | Democratic People's Republic of Korea |  |  |  |  |  |  | X |
| 11 | India |  |  |  |  |  |  | X |
| 12 | Indonesia |  |  |  |  |  |  | X |
| 13 | Iran (Islamic Republic of) |  |  |  |  |  | X |  |
| 14 | Iraq |  |  |  |  |  | X |  |
| 15 | Japan |  |  |  |  |  |  | X |
| 16 | Jordan |  |  |  |  |  |  | X |
| 17 | Kazakhstan |  |  |  |  |  |  | X |
| 18 | Kiribati |  |  |  |  |  |  | X |
| 19 | Kuwait |  |  |  |  |  |  | X |
| 20 | Kyrgyzstan |  |  |  |  |  | X |  |
| 21 | Lao People's Democratic Republic |  |  |  |  |  |  | X |
| 22 | Lebanon |  |  |  |  |  |  | X |
| 23 | Malaysia |  |  |  |  | X | X |  |
| 24 | Maldives |  |  |  |  |  |  | X |
| 25 | Marshall Islands |  |  |  |  |  |  | X |
| 26 | Micronesia (Federated States of) |  |  |  |  |  |  | X |
| 27 | Mongolia |  |  |  |  |  |  | X |
| 28 | Nauru |  |  |  |  |  |  | X |
| 29 | Nepal |  |  |  |  |  |  | X |
| 30 | Oman |  |  |  |  |  |  | X |
| 31 | Palau |  |  |  |  | X | X |  |
| 32 | Pakistan |  |  |  |  |  |  | X |
| 33 | Papua New Guinea |  |  |  |  |  |  | X |
| 34 | Philippines |  |  |  | X |  |  |  |
| 35 | Qatar |  |  |  |  |  | X |  |
| 36 | Republic of Korea |  |  |  |  |  |  | X |
| 37 | Samoa |  |  |  |  |  |  | X |
| 38 | Saudi Arabia |  |  |  |  |  |  | X |
| 39 | Singapore |  |  | X | X |  |  |  |
| 40 | Sri Lanka |  |  |  |  |  |  | X |
| 41 | Syrian Arab Republic |  |  |  |  |  |  | X |
| 42 | Thailand |  |  |  | X |  |  |  |
| 43 | Tonga |  |  |  |  |  |  | X |
| 44 | Turkmenistan |  |  |  |  |  |  | X |
| 45 | United Arab Emirates |  |  | X | X |  |  |  |
| 46 | Uzbekistan |  |  | X | X |  |  |  |
| 47 | Viet Nam |  |  |  |  |  |  | X |
| 48 | Yemen |  |  |  | X |  |  |  |
|  | **Totals for Asia** | **1** | **1** | **3** | **6** | **3** | **8** | **32** |

**Eastern Europe Region**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Party** | **Fully reported and on time** | **Fully reported, but late** | **Incomplete, but on time** | | **Incomplete and late** | | **Note repoted** |
|  | **PART I incomplete** | **PART II incomplete** | **PART I incomplete** | **PART II incomplete** |
| 1 | Albania |  |  |  |  |  |  | X |
| 2 | Armenia |  |  |  |  |  |  | X |
| 3 | Belarus |  |  |  |  |  |  | X |
| 4 | Bosnia and Herzegovina |  |  |  | X |  |  |  |
| 5 | Bulgaria |  |  |  |  |  | X |  |
| 6 | Croatia |  |  |  |  | X | X |  |
| 7 | Czech Republic |  |  |  |  |  | X |  |
| 8 | Estonia |  |  |  | X |  |  |  |
| 9 | Georgia |  |  |  |  |  | X |  |
| 10 | Hungary | X |  |  |  |  |  |  |
| 11 | Latvia |  |  |  |  |  | X |  |
| 12 | Lithuania | X |  |  |  |  |  |  |
| 13 | Montenegro |  |  |  | X |  |  |  |
| 14 | Poland | X |  |  |  |  |  |  |
| 15 | Republic of Moldova |  |  |  |  |  |  | X |
| 16 | Romania |  |  |  |  |  |  | X |
| 17 | Russian Federation |  |  |  |  |  |  | X |
| 18 | Serbia |  |  |  |  |  | X |  |
| 19 | Slovakia |  |  | X | X |  |  |  |
| 20 | Slovenia |  |  |  |  | X | X |  |
| 21 | The Former Yugoslav Republic of Macedonia |  |  |  |  |  |  | X |
| 22 | Ukraine |  |  | X | X |  |  |  |
|  | **Totals for Eastern Europe** | **3** | **0** | **2** | **5** | **2** | **7** | **7** |

**GRULAC**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Party** | **Fully reported and on time** | **Fully reported, but late** | **Incomplete, but on time** | | **Incomplete and late** | | **Not reported** |
|  | **PART I incomplete** | **PART II incomplete** | **PART I incomplete** | **PART II incomplete** |
| 1 | Antigua and Barbuda |  |  |  |  |  |  | X |
| 2 | Argentina | X |  |  |  |  |  |  |
| 3 | Bahamas |  |  |  |  |  |  | X |
| 4 | Barbados |  |  |  |  |  | X |  |
| 5 | Belize |  |  |  |  |  |  | X |
| 6 | Bolivia |  |  |  |  |  |  | X |
| 7 | Brazil |  |  |  |  |  |  | X |
| 8 | Chile |  |  |  |  |  |  | X |
| 9 | Colombia |  |  |  | X |  |  |  |
| 10 | Costa Rica |  |  | X | X |  |  |  |
| 11 | Cuba |  |  |  |  |  |  | X |
| 12 | Dominica |  |  |  |  |  |  | X |
| 13 | Dominican Republic |  |  |  | X |  |  |  |
| 14 | Ecuador |  |  |  |  |  |  | X |
| 15 | El Salvador |  |  |  |  |  |  | X |
| 16 | Guatemala |  |  |  | X |  |  |  |
| 17 | Guyana |  |  |  |  |  | X |  |
| 18 | Honduras |  |  |  |  |  |  | X |
| 19 | Jamaica |  |  |  |  |  |  | X |
| 20 | Mexico |  |  |  | X |  |  |  |
| 21 | Nicaragua |  |  |  |  |  | X |  |
| 22 | Panama |  |  |  |  |  |  | X |
| 23 | Paraguay |  |  |  |  |  |  | X |
| 24 | Peru |  | X |  |  |  |  |  |
| 25 | Saint Kitts and Nevis |  |  |  |  |  |  | X |
| 26 | Saint Lucia |  |  |  |  |  | X |  |
| 27 | Saint Vincent and the Grenadines |  |  |  |  |  |  | X |
| 28 | Suriname |  |  |  |  |  |  | X |
| 29 | Trinidad and Tobago |  |  |  |  |  |  | X |
| 30 | Uruguay |  |  |  |  | X | X |  |
| 31 | Venezuela |  |  |  |  |  |  | X |
|  | **Totals for Latin America and the Caribbean** | **1** | **1** | **1** | **5** | **1** | **5** | **19** |

**WEOG**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Party** | **Fully reported and on time** | **Fully reported, but late** | **Incomplete, but on time** | | **Incomplete and late** | | **Not reported** |
|  | **PART I incomplete** | **PART II incomplete** | **PART I incomplete** | **PART II incomplete** |
| 1 | Andorra |  |  | X | X |  |  |  |
| 2 | Australia | X |  |  |  |  |  |  |
| 3 | Austria |  |  |  | X |  |  |  |
| 4 | Belgium |  |  |  |  | X | X |  |
| 5 | Canada |  |  |  | X |  |  |  |
| 6 | Denmark |  |  |  |  |  | X |  |
| 7 | Finland | X |  |  |  |  |  |  |
| 8 | France |  |  |  |  |  | X |  |
| 9 | Germany |  |  |  |  |  | X |  |
| 10 | Greece |  |  |  |  |  | X |  |
| 11 | Iceland |  |  |  |  |  |  | X |
| 12 | Ireland |  | X |  |  |  |  |  |
| 13 | Israel |  |  |  |  |  |  | X |
| 14 | Italy |  |  |  | X |  |  |  |
| 15 | Liechtenstein |  |  |  |  |  |  | X |
| 16 | Luxembourg |  |  |  |  |  |  | X |
| 17 | Malta |  | X |  |  |  |  |  |
| 18 | Monaco |  |  |  |  |  |  | X |
| 19 | Netherlands |  |  |  |  |  | X |  |
| 20 | New Zealand |  |  | X | X |  |  |  |
| 21 | Norway |  |  |  | X |  |  |  |
| 22 | Portugal | X |  |  |  |  |  |  |
| 23 | Spain |  |  |  |  |  | X |  |
| 24 | Sweden |  |  |  |  |  | X |  |
| 25 | Switzerland |  |  |  |  |  |  | X |
| 26 | Turkey |  |  |  |  |  |  | X |
| 27 | United Kingdom of Great Britain and Northern Ireland |  |  |  |  |  | X |  |
|  | **Totals for Western Europe and others** | **3** | **2** | **2** | **6** | **1** | **9** | **7** |

VI Overview of completeness of answers to each question and subquestion of the national report for the year 2013 (as of 5 February 2016)

|  |  |  |
| --- | --- | --- |
| **Item in the questionnaire** | **Subject sought** | **Number of incomplete responses  (out of 78)** |
| **Part I: Status of Information** | | |
| 1a | **Competent authority** | 0 |
| 1b | **Focal point** | 0 |
| 2a | National definition of **waste** | 0 |
| 2b | National definition of **hazardous** waste | 0 |
| 2c | Regulate/control any **additional**hazardous wastes | 0 |
| 2d | Any wastes that require **special consideration** for TBM | 1 |
| 2e | Source or basis of definition | 1 |
| 2f | National definition of hazardous waste cover wastes other than listed in Annexes I, II and VIII | 1 |
| 2g(i) | Specify any requirements (procedures) concerning transboundary movements | 5 |
| 2g(ii) | Any waste other than those identified above that require special consideration | 1 |
| 3a | **Amendment** to the Basel Convention (Decision III/1) being implemented | 0 |
| 3b | **Restrictions** on the **export** of hazardous wastes and other wastes for **final disposal** | 0 |
| 3b(i) | Relevant legislation | 0 |
| 3b(ii) | Country/region and/or waste which could be covered by the restriction | 2 |
| 3c | **Restrictions** on the **export** of hazardous wastes and other wastes for **recovery** | 0 |
| 3c(i) | Relevant legislation | 0 |
| 3c(ii) | Country/region and/or waste which could be covered by the restriction | 1 |
| 3d | **Restrictions** on the **import** of hazardous wastes and other wastes for **disposal** | 0 |
| 3d(i) | Relevant legislation | 0 |
| 3d(ii) | Country/region and/or waste which could be covered by the restriction | 1 |
| 3e | **Restrictions** on the **import** of hazardous wastes and other wastes for **recovery** | 0 |
| 3e(i) | Relevant legislation | 0 |
| 3e(ii) | Country/region and/or waste which could be covered by the restriction | 1 |
| 3f | **Restrictions** on transit of hazardous wastes and other wastes for **recovery** | 0 |
| 3f(i) | Relevant legislation | 0 |
| 3f(ii) | Country/region and/or waste which could be covered by the restriction | 2 |
| 4a | **Notification and Movement document** forms of used and/or accepted | 0 |
| 4a(i) | Problems in the usage of the Notification and Movement document forms | 0 |
| 4a(ii) | Information on any other forms which are used and/or accepted | **3** |
| 4b | **Languages** accepted to receive Notification and Movement document forms | 0 |
| 4c | **Additional information requirements** in addition to those listed under Annex V (A and B) of the Basel Convention | 0 |
| 4d | **Border control** for export/import/transit established | 0 |
| 4d(i) | **Harmonized system** on customs control of the WCO used | 0 |
| 5 | Measures taken for the reduction and/or elimination of the amount of hazardous wastes and other wastes **generated** | 0 |
| 5(i) | National strategies/policies | 0 |
| 5(ii) | Legislation, regulations and guidelines | 0 |
| 5(iii) | Economic instruments/initiatives | 1 |
| 5(iv) | Measures taken by industries/waste generators | 2 |
| 6 | Measures taken for the reduction of the amount of hazardous wastes and other wastes subject to the **transboundary movement** | 0 |
| 6(i) | National strategies/policies | 0 |
| 6(ii) | Legislation, regulations and guidelines | 1 |
| 6(iii) | Economic instruments/initiatives | 1 |
| 6(iv) | Measures taken by industries/waste generators | 3 |
| 7 | **Effect** on human health and the environment: Available statistics, studies, monitoring reports, etc or alternatively provide contact information | 0 |
| Table 1 | Bilateral, multilateral or regional **agreements** | **6** |
| Table 2 | **Disposal facilities** or sources of information | 1 |
| Table 3 | **Recovery facilities** or sources of information | 3 |
| Table 4 | Sources of **technical assistance** | 0 |
| Table 5 | Sources of **financial assistance** | **9** |

|  |  |  |
| --- | --- | --- |
| **Part II: Annual Reporting** | | |
| Table 6 | **Export** of hazardous wastes and other wastes in the specific year | 9 |
| Table 7 | **Import** of hazardous wastes and other wastes in the specific year | 13 |
| Table 8A | Total Amount of **Generation** of hazardous wastes and other wastes in 2011   * Total amount under Art. 1(1)a * Total amount under Art. 1(a)b * Total amout of other wastes (Annex II: Y46-Y47) | **50** |
| Table 8B not mandatory | Generation of hazardous wastes and other wastes **by Y-categories** in the specific year | 34 |
| Table 9 | Disposals which **did not proceed as intended** | **26** |
| Table 10 | **Accidents** occurring during the transboundary movement and disposal of hazardous wastes and other wastes | **28** |

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. 1 The information presented was collected at the time the respective classifications were undertaken. [↑](#footnote-ref-1)
2. 2 By decision BC-10/11, the Conference of the Parties for the first time requested the Committee to classify parties compliance performance with national reporting, starting with the year 2009. This mandate was then extended by decisions BC-11/8 and BC-12/7. [↑](#footnote-ref-2)
3. 3 In its decisions BC-11/8 and BC-12/7, the Conference of the Parties set reporting targets for timeless of national reports, starting with the year 2010. The target was and still is: 20 per cent of reports due are submitted complete. [↑](#footnote-ref-3)
4. 4 In its decisions BC-11/8 and BC-12/7, the Conference of the Parties set reporting targets for timeless of national reports, starting with the year 2010. The target was and still is: 30 per cent of reports due are submitted on time. [↑](#footnote-ref-4)
5. 1 The Secretariat did not include in the tables 4 parties, which did not have the obligation to report for the year 2013. The parties are: Afghanistan, Myanmar, Palestine and Sao Tome and Principe. [↑](#footnote-ref-5)